



175.2

Patent
Attorney Docket: 260/060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Group Art Unit: 1752

Edgar A. MENDOZA

Examiner: Walke, A.

Serial No.: 09/574,841

Filed: May 19, 2000

For: THIN FILM SOL-GEL DERIVED
GLASS

RECEIVED

AUG 20 2002

TC 1700

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)(3)

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant(s) hereby disclose to the Patent Office patents, publications or other information of which they are aware, that they believe may be material to the patentability of one or more of the pending claims in this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56 and the submission thereof by Applicant(s) shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 USC 102 with respect to this invention unless specifically designated by Applicant(s) as such.

LA218007.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 13, 2002
Date of Deposit

Yolanda G. Ybuan

Name of Person Mailing Paper

Yolanda G. Ybuan

Signature of Person Mailing Paper

Patent
Attorney Docket: 260/060

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

Attached is PTO Form 1449 listing the patents, publications and other information that may be material to the patentability of one or more claims of the subject application. Copies of all such items are enclosed herewith. Applicant respectfully requests that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Applicant believes that no fee should be required for consideration of this Information Disclosure Statement. Should Applicant be in error, however, please charge any fee required for the consideration of this paper to counsel's Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: 8/13/02

By: Rodger Carrey
Rodger K. Carrey
Reg. No. 50,774

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
(213) 489-1600

Enclosures: PTO Form 1449